

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hongtu Zhao et al.

Application No.: 10/540,937

Filing Date: June 29, 2005

Title: LIGHT EMITTING DIODE LAMP AND MANUFACTURING METHOD THEREOF

Group Art Unit:

Examiner:

Confirmation No.: 8690

FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

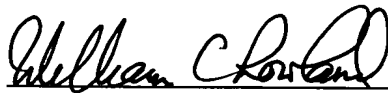
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL PC

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By   
William C. Rowland  
Registration No. 30,888

Date: January 11, 2006

**Buchanan Ingersoll PC**

ATTORNEYS

Including attorneys from Burns Doane Swecker & Mathis

(8/05)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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For: LIGHT EMITTING DIODE LAMP AND	)	
MANUFACTURING METHOD	)	
THEREOF	)	
	)	
	)	

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

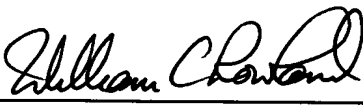
The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BUCHANAN INGERSOLL PC

Date January 11, 2006

By:   
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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	10/540,937
<b>Filing Date</b>	June 29, 2005
<b>First Named Inventor</b>	Hongtu Zhao et al.
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	006005-046

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	5,001,609		Robert C. Gardner et al.	03-19-1991
	6,495,861	B1	Hiroki Ishinaga	12-17-2002

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	*2498454	Y	China	07-03-2002						X	X
	*2462225	Y	China	11-28-2001						X	X
	*1156908	A	China	08-13-1997				X		X	X
	*60-63970	A	Japan	04-12-1985				X		X	X
	*7-7185	A	Japan	01-10-1995				X		X	X
	*0 570 987	A1	Europe	05-21-1993				X		X	X
	*58-82581	A	Japan	05-18-1983				X		X	
	*6-216411	A	Japan	08-05-1994				X		X	

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	*International Search Report for PCT/CN03/00139, dated April 19, 2003 and English Translation

\*Copy attached

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.